SEC Form 4
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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-028							
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>Richardson Nina</u>				2. Issuer Name and Ticker or Trading Symbol <u>COHU INC</u> [ COHU ]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner			
(Last) 12367 CROS	(First) STHWAITE CIR	(Middle)		e of Earliest Transa 5/2020	uction (N	/onth/	'Day/Year)			Officer (give title below)	Other below	(specify )	
(Street)			4. If Ar	mendment, Date of	Origina	l Filec	l (Month/Day/`	Year)	Line)	vidual or Joint/Grou			
POWAY	CA	92064							X	Form filed by On Form filed by Mo Person	1 0		
(City)	(State)	(Zip)								1 013011			
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
Date		?. Transaction Date Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		. 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

			Code	v	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	06/26/2020		Α		872 <sup>(1)</sup>	A	\$0.00	16,511 <sup>(2)</sup>	D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned										

(e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10 11. Nature Conversion Execution Date Transaction Ownership Derivative Date of Expiration Date Amount of Derivative derivative of Indirect Security (Instr. 3) or Exercise Price of (Month/Day/Year) if any (Month/Day/Year) Code (Instr. Derivative (Month/Day/Year) Securities Security (Instr. 5) Securities Form: Beneficial 8) Ownership (Instr. 4) Securities Acquired Underlying Beneficially Direct (D) Derivative Derivative Owned or Indirect Security (A) or Security (Instr. 3 and 4) Following Reported (I) (Instr. 4) Disposed of (D) (Instr. 3, 4 . Transaction(s) (Instr. 4) and 5) Amount or Number Expiration Date of Code v (A) (D) Exercisable Date Title Shares

### Explanation of Responses:

1. Grant of Phantom Stock in the form of Deferred Stock Units (DSUs) for payment of Director Fees. Each DSU is equal to one share of Cohu, Inc. Common Stock and will be settled through the issuance of common stock (i) upon reporting person's termination of service as a director or (ii) at certain specified future dates.

2. Number of shares includes 14,300 Restricted Stock Units (RSUs) and 2,211 Deferred Stock Units (DSUs). Each RSU represents a contingent right to receive one share of Cohu, Inc. Common Stock upon vesting (assuming continued service to the Board). Each DSU is equal to one share of Cohu, Inc. Common Stock and will be settled through the issuance of common stock (i) upon the reporting person's termination of service as a director or (ii) at certain specified dates.

## **Remarks:**

#### Jeffrey D. Jones, by Power of 06/30/2020 Attorney

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(y).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.